# Cerebral Cortex, April 2020;30: 2144-2156

doi: 10.1093/cercor/bhz228 Advance Access Publication Date: 11 November 2019 Original Article

# ORIGINAL ARTICLE

# Self-reported Sleep Problems Related to Amyloid Deposition in Cortical Regions with High HOMER1 Gene Expression

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# Abstract

Sleep problems are related to the elevated levels of the Alzheimer's disease (AD) biomarker  $\beta$ -amyloid (A $\beta$ ). Hypotheses about the causes of this relationship can be generated from molecular markers of sleep problems identified in rodents. A major marker of sleep deprivation is Homer1a, a neural protein coded by the HOMER1 gene, which has also been implicated in brain A $\beta$  accumulation. Here, we tested whether the relationship between cortical A $\beta$  accumulation and self-reported sleep quality, as well as changes in sleep quality over 3 years, was stronger in cortical regions with high HOMER1 mRNA expression levels. In a sample of 154 cognitively healthy older adults, A $\beta$  correlated with poorer sleep quality cross-sectionally and longitudinally (n = 62), but more strongly in the younger than in older individuals. Effects were mainly found in regions with high expression of HOMER1. The anatomical distribution of the sleep-A $\beta$  relationship followed closely the A $\beta$  accumulation pattern in 69 patients with mild cognitive impairment or AD. Thus, the results indicate that the relationship between sleep problems and A $\beta$  accumulation may involve Homer1 activity in the cortical regions, where harbor A $\beta$  deposits in AD. The findings may advance our understanding of the relationship between sleep problems and AD risk.

Key words: Alzheimer's disease, amyloid, gene expression, HOMER1, sleep

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# Introduction

Sleep is critical for brain function, and disturbed sleep is associated with many prevalent neurological and psychiatric conditions, including dementia (Shi et al. 2018). Sleep disturbance is an early symptom of Alzheimer's disease (AD) (Prinz et al. 1982; Hatfield et al. 2004; Videnovic et al. 2014; Irwin and Vitiello 2019), and a bidirectional relationship is suggested between sleep and  $\beta$ -amyloid (A $\beta$ ) accumulation (Mander et al. 2015). Sleep quality is affected by aging (Scullin and Bliwise 2015; Mander et al. 2017), and understanding the relationship between sleep and Aß accumulation in cognitively healthy older adults could aid in understanding the role of sleep in early stages of neurodegeneration. A relationship between sleep and  $A\beta$  is demonstrated in experimental human (Ooms et al. 2014) and rodent (Xie et al. 2013) studies, and also found in observational studies (Spira et al. 2013; Sprecher et al. 2015; Branger et al. 2016; Brown et al. 2016). Here, we approached the question on how sleep problems and Aß accumulation are related in humans from different angles. First, we tested the anatomical configuration of the  $A\beta$ -sleep relationship, that is in which cortical regions  $A\beta$  accumulation and sleep problems are most strongly related, and whether these are the regions accumulating  $A\beta$  in AD. We hypothesized that sleep problems, and in particular longitudinal increases in sleep problems, would be associated with  $A\beta$  accumulation in ADvulnerable regions. Since sleep quality tends to be stable over time (Pillai et al. 2015), increase in sleep problems may reflect on the initiation of brain pathology. Thus, we hypothesized a relationship between longitudinal changes in sleep problems and Aß deposition. Furthermore, the accumulation of  $A\beta$  is assumed to be an early event in AD, possibly plateauing even before the stage of diagnosis (Jack et al. 2010, 2013; Sperling et al. 2011). Thus, we tested whether the relationship between  $A\beta$  and sleep problems differed between middle-age and early senescence compared with older age.

Importantly, we then tested whether cortical regions characterized by stronger sleep-A<sup>β</sup> relationships also showed higher levels of HOMER1 mRNA expression. HOMER1, classified as an immediate early gene, codes the neuronal protein Homer1a, which is the best-known molecular marker of sleep need (Maret et al. 2007; Archer and Oster 2015; Diering et al. 2017). Sleep loss has a profound effect on expression of selective genes. Homer1a is widely expressed in the human cortex, especially in the frontal lobe (Szumlinski et al. 2006), and broadly upregulated in the brains of sleep-deprived rodents (Cirelli et al. 2006; Mackiewicz et al. 2007). Consistent activation of Homer1a is shown in mice when awake (Brakeman et al. 1997; Szumlinski et al. 2006; Thompson et al. 2010), indicating a role for sleep in intracellular calcium homeostasis for protecting and recovering from glutamate-induced neuronal hyperactivityimposed by wakefulness (Maret et al. 2007). Importantly, because Homer1a is activity-dependent, it has been suggested to regulate  $A\beta$ toxicity at the early stage of AD (Luo et al. 2012), and reduced Homer1a mRNA expression has been found in the amyloid precursor protein and presenilin-1 (APP + PS1) transgenic mice (Dickey et al. 2003). In the latter study, normal expression was found in regions that did not accumulate  $A\beta$ , implying a role for Homer1a in Approcessing. An experimental study further showed that the activity-dependent expression of Homer1a counteracted suppression of large-conductance Ca<sup>2+</sup>activated K<sup>+</sup> (BK—Big Potassium) channels was demonstrated by the injections of  $A\beta$  proteins into rat and mouse neocortical pyramidal cells (Yamamoto et al. 2011). Aß production and release are assumed to result from synaptic activity, which can explain why A $\beta$  accumulations in humans are preferably found in multi-modal brain regions that show high-neural activity (Jagust and Mormino 2011). Thus, a first step in exploring the role of HOMER1 for A $\beta$  accumulation in humans could be to test how its mRNA expression levels in the cerebral cortex maps onto A $\beta$  accumulation in AD and the regional distribution of sleep-A $\beta$  relationships in aging. We hypothesized that HOMER1 was upregulated in the same cortical regions, where A $\beta$  accumulation due to sleep problems or AD is found.

# **Materials and Methods**

# Sample

The sample was collected in two ways. The main sample consisted of 109 cognitively normal middle-aged and older adults (49.2-80.9 years) was drawn from the ongoing studies at the Center for Lifespan Changes in Brain and Cognition, University of Oslo (Westlye et al. 2010a, 2010b; Storsve et al. 2014; Walhovd et al. 2014; de Lange et al. 2016), for all of whom amyloid PET, magnetic resonance imaging (MRI), and sleep data were available. Forty-five additional participants were later addedyielding a total of 154 participant in this extended sampleto allow us to test the robustness of the results obtained in the main sample. All procedures followed the Declaration of Helsinki, approved by the Regional Ethical Committee of Southern Norway, and written consent was obtained from all. Participants were screened with a health interview, were right handed, fluent Norwegian speakers, with normal hearing and normal or corrected to normal vision. Exclusion criteria were the history of injury or disease known to affect central nervous system function, including neurological or psychiatric illness or serious head trauma, undergoing psychiatric treatment and use of psychoactive drugs, including sleep medication. MRIs were evaluated by a neuroradiologist and required to be free of significant injuries or conditions. Participants scored  $\geq 26$ on the Mini Mental State Examination (Folstein et al. 1975), Beck Depression Inventory [BDI (Beck and Steer 1984; Beck 1987)  $\leq$ 16 and IQ $\geq$ 85] (Wechsler 1999). Sixty-two participants had 3-years longitudinal data (Table 1). Sleep quality was assessed using the Pittsburgh Sleep Quality Index (PSQI) (Buysse et al. 1989). PSQI assesses seven domains of sleep quality (quality, latency, duration, efficiency, problems, medication, and daytime tiredness) in addition to a global score over a 1-month interval. The global score ranges from 0 to 21 and was used as the measure of sleep quality, with high scores indicating lower quality. Participants also underwent a visuo-constructive recall test (The Rey-Osterreith Complex Figure Text: CFT) (Poulton and Moffitt 1995), where they were asked to copy a complex figure on a sheet of paper. Thirty minutes later, they were given an unannounced test, asked to reproduce the drawing from their memory. We calculated the score at baseline and follow-up, as well as annualized percent change in score across the 3 years between time points.

PET scans from 20 cognitively healthy older adults (age 71.3–86.2 years, MMSE  $\geq$  28) and 69 patients with mild cognitive impairment (MCI)/AD (n = 44/25, 55.3–88.2 years) were obtained from the AD Neuroimaging Initiative (ADNI) database.

In addition, a replication sample was taken from (Fjell et al. 2018) where A $\beta$ 42 was measured in the CSF of 91 cognitively healthy older adults from the COGNORM study (Idland et al. 2016). The study was conducted in accordance with the

# Table 1 Sample descriptives

	Full sample (n = 109)	Longitudinal PSQI ( $n = 62$ )	
		Baseline	Follow-up
Age	66.7 (49.2–80.9)	62.1 (8.3)	66.4 (7.9)
Sex (female/male)	64/45	37/25	27/25
MMSE	28.8 (25–30)	29.0 (27–30)	28.5 (25–30)
PSQI Global	5.0 (2.77)	4.5 (2.73)	4.5 (2.77)
BMI	25.8 (3.96)	26.0 (4.21)	25.5 (3.80)
Beck (BDI)	5.1 (0–18)	5.11 (3.82)	4.48 (4.30)
WASI vocabulary (t-score)	59.9 (6.6)	59.4 (7.1)	59.9 (5.9)
WASI matrices (t-score)	63.0 (8.3)	62.4 (7.9)	63.9 (7.9)
Rey Complex Figure (memory)	18.0 (6.3)	17.9 (5.4)	17.7 (6.2)

Beck: Available for 82. Registered 3 years before baseline in the longitudinal sample for 61. BMI: Available for 60 at baseline in the longitudinal subsample. Rey available for 87 in the full sample, and 61 in the longitudinal sample. Follow up was at a mean of 3 years after baseline.

Declaration of Helsinki. All participants gave informed consent as approved by the Regional Committee for Medical and Health Research Ethics. Sleep quality was assessed after the second MRI in this study, using PSQI, that is 3 years after baseline CSF assessment. This sample was used to replicate the PSQI-Aß relationship in the main sample. General recruitment and screening procedures are previously described (Idland et al. 2016). In short, patients were scheduled for elective gynecological (genital prolapse), urological (benign prostate hyperplasia, prostate cancer, or bladder tumor/cancer), or orthopedic (knee or hip replacement) surgery in spinal anesthesia, turning 65 years or older the year of inclusion. Dementia, previous stroke with sequela, Parkinson's disease, and other neurodegenerative diseases likely to affect cognitive function were initial exclusion criteria. As part of the clinical evaluation, participants were assessed with a multi-domain battery of cognitive tests before surgery, comprising the MMSE (Folstein et al. 1975), Clock Drawing Test (Shulman 2000), Word List Memory Task (Morris et al. 1989), Trail Making Test A and B (Reitan 1955), Kendrick Object Learning Test (Kendrick et al. 1979), and verbal fluency (FAS test and animal naming) (Spreen and Strauss 1991).CSF samples were collected by the anesthesiologist in conjunction with spinal anesthesia. About 172 participants were tested at baseline. From this pool of participants, we further selected only cognitively healthy participants based on the cognitive and clinical screening, as detailed elsewhere (Fjell et al. 2018). After screening, 103 participants were available, and 91 of these also completed the Pittsburg Sleep Quality Index (PSQI) (mean age 72 years, range 64-89). See Supplementary Material for details on the sample.

#### Magnetic Resonance Imaging

MRI data was collected using a 12-channel head coil on a 1.5T Avanto (Siemens Medical Solutions) at Oslo University Hospital, with two repetitions of a 160 slices sagittal T<sub>1</sub>-weighted magnetization prepared rapid gradient echo sequence: repetition time (TR)/echo time (TE)/time to inversion (TI)/flip angle (FA) = 2400 ms/3.61 ms/1000 ms/8°, matrix = 192 × 192, field of view = 240, voxel size =  $1.25 \times 1.25 \times 1.20$  mm, and scan time 4 min 42 s. Cortical surfaces were reconstructed by the use of FreeSurfer 5.3 (Fischl et al. 1999; Fischl and Dale 2000).

#### Positron Emission Tomography

Flutemetamol ( $^{18}$ F) PET was used to quantify the cortical A $\beta$  accumulation. Scans were processed and partial voluming cor-

rected by use of the Muller–Gartner method, registered to the individual participant's cortical surface, and cortical surfacebased smoothing (full-width, half-maximum = 15 mm) applied, shown to reduce bias and variance in PET measurements (Greve et al. 2014). The cortical PET signal at each surface point was divided by the mean signal of the cerebellum cortex to obtain standardized uptake values. For some analyses, global cortical A $\beta$  accumulation was calculated by use of principal component analysis of the 68 regions, accounting for 68.1% of the variance, with only two regions loading lower than40 (right and left cuneus), indicating that this is a reasonable data reduction approach. For ADNI participants, PIB-PET images were acquired according to protocol (Jack et al. 2008; Jagust et al. 2010).

#### CSF Collection and Analyses—Replication Sample

In the replication sample, Aßwas measured in CSF. CSF was collected in polypropylene tubes, centrifuged at room temperature for 10 min, the supernatant aliquoted into polypropylene tubes, and frozen at -80 °C pending analyses. Mean time from CSF sampling to freezing was below 90 min. Samples were sent on dry ice to the Clinical Neurochemistry Laboratory at Sahlgrenska University Hospital, Mölndal, Sweden, for analyses. CSF A<sup>β</sup>42 concentration was measured using the INNOTEST β-AMYLOID(1-42) enzyme-linked immunosorbent assay (Fujirebio). Analyses were performed by board-certified laboratory technicians masked to clinical data. Intra-assay coefficients of variation were 9-13%. In addition, AB42 concentration was also measured using the Meso Scale Discovery A<sup>β</sup> Triplex Assay (Meso Scale Discovery). This assay uses end-specific antibodies to capture  $A\beta$  peptides ending at amino acid 38, 40, and 42, respectively, and 6E10 (specific to amino acids 3-8) to detect them.

#### HOMER1 Expression

HOMER1 mRNA expression levels from multiple samples were extracted from the Allen Brain Atlas (www.brain-map.org) for the left hemisphere for six participants <60 years (Hawrylycz et al. 2012). Each sample was matched to each of the 34 cortical regions from the Desikan-Killiany atlas (Fischl et al. 2004). The donors in the Allen Human Brain Atlas Microarray Survey are described in detail in the Donor Profile Technical White Paper (http://help.brain-map.org/display/humanbrain/ Documentation). None showed abnormal levels of amyloid plaques or neurofibrillary tangles. The procedures are described in detail elsewhere (French and Paus 2015). Briefly, normalized microarray gene expression data were downloaded, and for each donor, all cortical samples were assigned to a surface region based on their MNI152 coordinates. Summed over all donors, 1269 cortical samples were mapped to the 34 left-hemispheric regions; all regions were represented with sample data from at least three donors (28/34 regions had data from all donors), and at least six samples. For each region, median HOMER1 expression across donors was calculated.

# Genotyping

Buccal swab and saliva samples were collected for DNA extraction followed by genome-wide genotyping using the "Global Screening Array" (Illumina, Inc). For a full description of genotyping and postgenotyping methods, including QC and imputation of untyped markers, please see Supplementary Material. Participants for whom DNA samples were available (n = 113) were typed for the number of APOE (Apolipoprotein E)  $\varepsilon$ 4 alleles. In addition, we computed two different polygenic score (PGS) for AD based on (Jansen et al. 2019) and (Lambert et al. 2013).

#### Statistical Analyses

Pearson correlations and multiple linear regression analyses were used to test the relationships between the variables of interest. To control for statistical outliers, participants with studentized deleted residuals >2 or <-2 were excluded. These are computed by deleting the observations one at a time, and each time refitting the regression model on the remaining n - 1observations. Then, we compared the observed response values to their fitted values based on the models with the observation in question deleted. Thus, the deviation of each observation is evaluated as the distance from the fit line when the fit line was calculated without that observation. All variables were centered in analyses, including interaction terms. To illustrate significant age interactions, a median split based on age of the main sample of participants was done, dividing the sample in a group of middle-aged and older (<68 years) versus a group of older adults  $(\geq 68 \text{ years})$ . The main results from these analyses were tested for robustness in the extended sample of 154 participants and in an independent replication sample. Regional amyloid levels were tested vertex-wise at the cortical surface by general linear models implemented in FreeSurfer, with  $A\beta$  level at each cortical vertex as dependent variable, and age group, PSQI score, and PSQI  $\times$  age group as predictors, with age as covariate to control within-group effects. The surface results were tested against an empirical null distribution of maximum cluster size across 10 000 iterations using Z Monte Carlo simulations, yielding results corrected for multiple comparisons across space. HOMER1 expression was correlated with the PSQI-A $\beta$  effect size map (gamma values) across the 34 regions.

# Results

## Global Amyloid Levels—Cross-sectional Analyses

Global cortical A $\beta$  correlated with age (r = 0.19, P < 0.05), while PSQI (r = 0.10, P = 0.29) did not, possibly because only middleaged and older adults were included. PSQI was entered as the dependent variable in a multiple regression analysis, with A $\beta$ , age, and sex as predictors. A $\beta$  was not related to PSQI (P > 0.50). The A $\beta \times$  age interaction term was added, yielding a significant contribution (B = -0.62, SE = ±0.295,  $\beta$  = -0.22, P < 0.05). Post hoc partial correlation analyses, controlling for age, showed that this interaction was due to a positive relationship (r = 0.41, P < 0.005) between high PSQI score and A $\beta$  in the youngest (age < 68 years, n = 52) part of the sample and no relationship (r = -0.23, P = 0.084) in the oldest (age  $\geq$  68 years, n = 57) (Fig. 1). To control for statistical outliers, participants with studentized deleted residuals >2 or <-2 were excluded. This strict criterion excluded six participants, with A $\beta$  × age still being significant (B = -0.50, SE =  $\pm 0.25$ ,  $\beta = -0.22$ , P < 0.05). This result was further confirmed running partial correlation analyses on the reduced sample in each age group separately, yielding a significant positive relationship (r = 0.36, P < 0.05, df = 47) in the youngest age group and no significant relationship in the older group (r = -0.20, P > 0.14, df = 50). The identified PSQI-A $\beta$  relationship was thus not due to statistical outliers.

Depression (BDI) score was added as covariate. A $\beta \times$  age was still significant (B = -0.72, SE = ±0.28,  $\beta$  = -0.29, *P* < 0.05), as was BDI score (B = 0.28, SE = ±0.07,  $\beta$  = 0.40, *P* < 0.001), so that sleep problems were associated with higher BDI. Overweight and cardiovascular health can affect sleep quality (Vorona et al. 2005). The A $\beta \times$  age interaction survived including body mass index (BMI) as covariate (B = -0.61, SE = ±0.30,  $\beta$  = -0.22, *P* < 0.05), and BMI was not significant. We also added the measures of body composition from bioelectrical impedance examinations (Ling et al. 2011) (muscle mass, body fat percentage, waist circumference, waist/hip ratio, and visceral fat area), as well as blood pressure (systolic and diastolic). None explained unique variance in PSQI score, while the A $\beta \times$  age interaction was still significant (B = -0.72, SE = ±0.29,  $\beta$  = -0.29, *P* < 0.05).

#### PSQI-Aβ Replication Analyses: Independent Sample

As an additional validation, we tested the PSQI–A $\beta$  relationship in the youngest and oldest part of our independent replication sample (see Fig. 2). Due to a slightly higher age than in the main sample, using the same cut-off age as in the main sample, it would yield only 16 participants in the youngest group versus 75 in the oldest. Thus, cut-off age for young-old was set to <70 years and old-old >70 years, yielding of 29 versus 62 participants in the youngest and the oldest groups, respectively. A $\beta$ was measured in CSF, and we tested both the A $\beta$ 42/A $\beta$ 40 ratio using the triplex assay and the absolute  $A\beta 42$  levels using the ELISA assay. Lower numbers indicate higher brain levels of  $A\beta$ . The A $\beta$ 42/A $\beta$ 40 ratio correlated r=-0.30 (df=28) with PSQI in the youngest age group and -0.10 (df = 61) in the oldest group. The correlation in the youngest CSF group was not significantly different from the correlation in the youngest PET group (z-score for the difference between correlations = 0.27, P = 0.79). Due to the lower number of participants in the youngest CSF group, the correlation did not reach the  $\alpha$ -level of 0.05 (P = 0.12, df = 28). This is a power issue, as a sample size equal to the young group in the PET sample would yield P=0.036 for the same correlation. Thus, the positive PSQI-A $\beta$  relationship in the youngest PET group was supported by the replication CSF sample. As seen above, the negative PSQI-A $\beta$  relationship in the oldest PET group was not seen in the CSF sample, however. Similar to the PET sample, we also included APOE status as covariate, which only marginally affected the PSQI-amyloid relationships ("young-old" r = -0.28/"old-old" r = 0.16). We repeated the CSF analyses using A $\beta$  ELISA instead of the A $\beta$ 42/A $\beta$ 40 triplex ratio, with similar results (r = -0.24 and 0.008 in the young and the older group, respectively). As can be seen in Figure 2, there was positive relationships between PSQI and the amount of  $A\beta$  in the



Figure 1. Regional Aβ levels and sleep problems. Left: The surface plots show regions where self-reported sleep problems and Aβ accumulation are significantly stronger correlated in the younger (50–67 years) compared with the older (68–81 years) age group. Right: Bubble plots of the relationship between sleep problems (PSQI global score) and global cortical Aβ levels (amyloid factor expressed in *Z*-scores) within each age group.

youngest part of both the PET and CSF samples that were not seen in the oldest part. However, the negative relationship in the oldest PET group was not seen in the oldest CSF group.

#### PSQI-Aβ Replication Analyses: Extended Sample

Additional participants were added to the sample from continued research projects run by Lifespan Changes in Brain and Cognition (LCBC) after the completion of the first round of data collection, increasing the number of participants from 109 to 154 (52->72 "young-old"/57->82 "old-old"). This sample was too small to warrant independent analyses and was thus added to the existing sample to test for the robustness of the original results. Covarying for age and sex, PSQI still correlated significantly with the amyloid factor (r = 0.41, P = 0.003) in the youngest group, but not in the oldest (r = -0.23, P = 0.083, difference between correlations z = 2.74, P = 0.0061). Similar to the analyses in the original sample, the positive correlation in the youngest group survived corrections for BMI, depression score, body composition, and blood pressure (all P's < 0.05). We also tested whether genetic risk for AD could account for the relationship. Genetic information was available for 113 participants (n = 48 "young-old"/" old-old" n = 65). We reran the correlations, first controlling for age and the number of APOE  $\varepsilon$ 4 alleles, and then for age and a polygenic AD score include the APOE region (see Supplementary Material), generated at P < 0.5. Covarying for genetic AD risk did not affect the correlations which in both cases were significant in the youngest group (controlling for APOE r = 0.38, P = 0.01/PGS from Jansen et al. r = 0.39, P = 0.014/PGS from Lambert et al. r = 0.44, P = 0.004) and not in the oldest group (controlling for APOE r = -0.00, P = 0.56/PGS from Jansen et al. r = -0.019, P = 0.89/PGS from Lambert et al. r = -0.02, P = 0.90).

#### Regional Amyloid Levels—Cross-sectional Analyses

Regional A $\beta$  analyses revealed spatially extended age group × PSQI interactions (Fig. 1), covering the frontal, lateral temporal, inferior parietal, and medial parietal cortex. The relationships were significantly stronger in participants below 68 years (Fig. 3). To ensure effects were not due to the specific cut-off used to create age groups (median split), we ran a post hoc regression analysis using age as a continuous variable and mean A $\beta$  levels across the vertices identified from the group statistics as dependent variable, confirming the interaction ( $\beta = -0.19$ , P = 0.05).

# Global and Regional Amyloid Levels—Longitudinal Analyses

PSQI, memory, and depression scores were available for a subsample 3 years prior to the present investigation (n=62). PSQI did not change significantly over this time (mean change = -4.0%, SD = 29%, t=1.16, n.s.), and scores between the time points are correlated (r=0.81,  $P < 10^{-14}$ ). A regression model with PSQI change as dependent, and age, global Aβ accumulation, and the age × Aβ interaction as predictors yielded the interaction term significant (B=0.62, SE = ±0.03,  $\beta=0.24$ , P=0.05). Worsening of sleep problems over time correlated with higher Aβ levels in the youngest (r=0.37, P < 0.05, n=35) but not the oldest (r=-0.12, n.s, n=27) group. The relationship in the younger group survived adding baseline PSQI score as a covariate (r=0.40, P < 0.05).

#### AD-related Aβ Accumulation

Patients with MCI/AD (n = 44/25) harbored significantly more cortical A $\beta$  than cognitively healthy participants (n = 20), especially in the superior frontal gyrus and around the central sulcus (Fig. 4). A direct comparison of the anatomical distribution of A $\beta$  differences between controls and patients with the distribution of the sleep-related A $\beta$  accumulation revealed close overlap (Spearman's Rho = 0.81,  $P < 10^{-8}$ ), showing that sleep is related to A $\beta$  in AD-sensitive regions.

# Gene Expression—HOMER1

Anatomical distribution of the mRNA expression levels of HOMER1 correlated with the distribution of effects sizes in the youngest group (Fig. 3, Spearman's Rho = 0.51, P = 0.0022, Fig. 5), demonstrating high HOMER1 expression levels in regions where A $\beta$ -PSQI were most strongly related. As an additional test, we ran the same analysis for all 20736 genes in the atlas. The observed correlation of .51 for HOMER1 was well above 97.5% of all positive correlations (critical value of Spearman's Rho = 0.49), meaning that HOMER1 is among the top 5% correlated genes, similar to a two-tailed P < 0.05. Control analyses showed that all donors showed similar positive correlations when investigated individually (P-values ranging from <0.01 to <2e<sup>-10</sup>, rho between .11 and .30). Here, we first converted the A $\beta$ -PSQI effect size surface maps to 1 mm<sup>3</sup> MNI152 volume space. Next, for each



**Figure 2**. Validation sample. The different PSQI-Aβ relationship in the younger versus the older part of the sample (left column) was tested in an independent sample of cognitively normal older adults, where Aβ was measured by Aβ1-42 in CSF (right column). As can be seen, a nominal positive relationship between sleep problems and amyloid levels was seen in the young–old in both samples. In the old–old, there was a negative relationship in the PET sample and a lack of relationship on the CSF sample.

donor separately, we extracted  $A\beta$ -PSQI effect size estimates from each cortical gene expression sample available in that donor, based on the MNI-coordinates of the sample. All  $A\beta$ -PSQI effect size estimates falling within 3 mm of the sample MNI-coordinate were considered the representative of the sample and averaged. Following this approach, the number of gene expression samples associated with a location within the MNI152 cortical ribbon ranged between 210 and 517 across the six donors (210–269 excluding the two donors being represented with samples in both hemispheres). These analyses showed that the correlation between  $A\beta$ -PSQI effect size and HOMER1 expression was present in 6/6 donors. HOMER1 expression was also positively related to  $A\beta$  accumulation in AD (Spearman's Rho = 0.55, P < 0.001, >99th percentile compared with all genes, see Figure 5).

# Control Analyses—Sleep, Memory, and Depression

We tested the relationship between PSQI scores, global A $\beta$ , memory function [Complex Figure Test (Poulton and Moffitt 1995)], and symptoms of depression (Beck 1987). We calculated memory and depression scores at baseline and follow-up, as well as annualized percent change in score across the 3 years between time points. Controlling for age, sleep problems at baseline was correlated with recall at both time points (baseline r = -0.34,



Figure 3. Relationships between A $\beta$  levels and sleep problems in the youngest group. Relationship between self-reported sleep problems and A $\beta$  accumulation in the youngest participants (50–67 years) was tested vertex-wise across the cortical surface and corrected for multiple comparisons across space. Red–yellow colors represent regions demonstrating a positive relationship between self-reported sleep problems and amyloid accumulation.



**Figure 4**. Aβ levels in AD and gene expression. Left: Regions with significantly higher levels of Aβ in MCI/AD patients compared with cognitively normal controls. Right: Bubble plot of the relationship between the patients versus controls differences in Aβ accumulation across 34 cortical regions (gamma values) and regional HOMER1 expression levels (top) and the strength of the sleep-Aβ relationship in the youngest group (gamma values) versus the patients-controls differences (bottom).



Figure 5. Relationship between sleep problems related Aβ accumulation and HOMER1 expression. Top: Regional expression of HOMER1 in 34 cortical regions in the left hemisphere. Bottom: Bubble plot of the relationship between regional HOMER1 expression levels and the strength of the sleep-Aβ relationship in the youngest group (gamma values). The bubbles are scaled by the group difference in Aβ accumulation between controls and MCI/AD patients. The clustering of large bubbles to the right and to the top of the plot illustrates that regions with high levels of HOMER1 expression and sleep-related Aβ accumulation also show more Aβ accumulation in AD patients.

P < 0.01, df=60; follow-up r = -0.32, P < 0.05, df=61), but no significant relationship was found between PSQI and recall score change between time points. Correcting for depression score, PSQI at baseline still correlated significantly with recall score at baseline (r = -0.30, P < 0.05, df=58) and at follow-up (r = -0.26, P < 0.05, df=58). None of the recall variables are correlated with global A $\beta$  accumulation.

We also tested the relationship between sleep problems and depressive symptoms. Controlling for age, PSQI at baseline (r=0.39, P < 0.005, df=58) and follow-up (r=0.32, P < 0.01) correlated with depressive symptoms at follow-up. No correlations were found for depression symptom load at baseline. Increase in depressive symptom load between the time points was associated with higher levels of sleep problems at followup (r=0.32, P < 0.01, df=67), controlling for age and interval. Neither of the depression scores correlated with global A $\beta$ accumulation. Adding sex as an additional covariate did not cause any of the relationships to go from significant to not significant. However, when sex was included, a relationship between increase in depression symptom load and increase in sleep problems between the time points was significant (r=-0.28, P < 0.05). Adding memory function at baseline, followup as well as annualized percentage chance between the time points as additional covariates did not cause any of the relationships to go from significant to not significant. Thus, memory and depressive symptoms are independently related to sleep problems, but neither seems to affect the sleep–A  $\beta$  accumulation pattern.

# Discussion

The present results demonstrate an age-dependent relationship between sleep problems and A<sup>β</sup> accumulation. These results fit with the hypothesis that sleep disturbances are related to pathogenesis early in the course of neurodegeneration (Musiek and Holtzman 2016). Disturbed sleep can lead to A<sup>β</sup> accumulation through disruptions of sleep-dependent  $A\beta$  clearance (Xie et al. 2013), and A $\beta$  accumulation can cause sleep problems (Brown et al. 2016), which again may reduce the brain's ability to clear Aß in a positive feedback loop. Several studies have reported that sleep problems are associated with the accumulation of global A $\beta$  in cognitively healthy older adults (Ju et al. 2013; Spira et al. 2013; Mander et al. 2015; Sprecher et al. 2015; Branger et al. 2016; Brown et al. 2016). Still, we cannot, from the present results, conclude with regard to causality, since brain aging is characterized by multiple factors other than sleep disturbance and A $\beta$  (Fjell et al. 2014).

Sleep problems and  $A\beta$  were more strongly related earlier than later in the aging process. This is interesting, as previous studies have shown that atrophy is related to sleep problems in older (>60 years) more than in middle-aged (<60 years) adults (Sexton et al. 2014), indicating a temporal shift in the relationship with sleep for  $A\beta$  versus atrophy. Such a pattern fits some theoretical models, where increased  $A\beta$  is placed before accelerated atrophy in a cascade leading to AD (Jack et al. 2010, 2013). This model is not universally accepted (Fjell et al. 2014), however. Furthermore, even though cognitively high functioning participants can be classified with AD or Alzheimer's pathological change according to the newly proposed NIA-AA research framework (Jack et al. 2018), the young participants in the present study have a low risk of developing dementia for the next decade. We also found that the relationship between sleep and  $A\beta$  accumulation was independent of genetic risk for AD as evidenced by APOE and two different AD PGSs. The observed relationship is interesting and may still be relevant for understanding very early pathological changes that may eventually lead to Alzheimer's dementia, but the participants need to be followed for several years to allow firms conclusions. Also interesting, the amount of sleep problems in the youngest group was relatively low, suggesting that minor disturbances of self-reported sleep also have relevance.

The lack of relationship between self-reported sleep and  $A\beta$  in the oldest group could be due to the accumulation of comorbid conditions with higher age. For instance, in high age, primary AD pathology is less common than mixed vascular and AD pathology (Hachinski 2019), and AD pathology is very often accompanied by vascular dysfunction (Sweeney et al. 2019) and neuroinflammation (McManus and Heneka 2017; Regen et al. 2017) in older adults. With increasing comorbidities, the relationship between sleep problems and  $A\beta$  burden may be diluted, which may explain the lack of relationship in the oldest group in the present study. Although we attempted to control for certain comorbid conditions in our analyses, represented by measures of BMI, body composition, and depression, it is possible that an increased amount of comorbidities rather than  $A\beta$  is associated with sleep problems in the oldest group.

The age differences in the  $A\beta$ -sleep relationships were partly replicated in an independent sample. Although  $A\beta$  was measured in CSF, which yield different and possible less accurate measure of A $\beta$ , the relationship with sleep problems was similar to the PET sample in the young group. However, there was no indication of an inverse relationship in the oldest group, as observed in the PET sample. Although this potentially can be due to the different methods used to measure A $\beta$ -PET versus CSF this finding needs further replication. Thus, the analyses in the replication sample yielded evidence supporting the observed A $\beta$ -sleep relationship early in the aging process, but not for the negative relationship in the older age group. In the following, we will, therefore, focus the discussion on the findings in the youngest group.

Sleep problem-related  $A\beta$  accumulation in the youngest group showed high correspondence with the regional Aß accumulation in MCI and AD patients. This is in accordance with a view that sleep problems may be relevant in very early phases of AD (Musiek and Holtzman 2016; Irwin and Vitiello 2019), before clinical symptoms are detectable. A methodological challenge is that this relationship in part can be caused by the spatial distribution of  $A\beta$  in the cortex, since correlations can only be detected if there is variance in the amount of Ap accumulation. Thus, sleep will only correlate with Aβ burden in the regions where Aβ tends to accumulate. Acknowledging this caveat, we still believe that the spatial distribution of Aβ convey relevant information. This is supported by studies showing that the distribution of  $A\beta$  in the cortex is not invariant across different conditions, such as between APOE  $\varepsilon$ 3 and  $\varepsilon$ 4 carriers (Toledo et al. 2019), and between patients with posttraumatic stress disorder and patients with traumatic brain injuries [(Mohamed et al. 2018), but see (Weiner et al. 2017)], both at risk for the development of AD. In any case, follow-up studies over longer time intervals are required to test whether the findings relate to later development of clinical AD symptoms. As expected, sleep problems were also related to lower memory function and more depressive symptoms. It must be noted that one of the 21 items in BDI asks directly about sleep and another about being tired, both overlapping with questions of the PSQI. Still, the sleep-depression and the sleep-memory relationships were independent of each other, showing that sleep problems are related to both cognitive function and psychiatric symptoms in aging (Mander et al. 2017).

The A $\beta$ -sleep results were confirmed by 3 years longitudinal information on sleep problems. As amount of sleep problems was highly correlated between the time points, it is interesting that participants with worsening of sleep problems had higher levels of cortical  $A\beta$  deposition, even when baseline levels of sleep problems were taken into account. This is in accordance with a hypothetic relationship between change in sleep problems and change in A $\beta$  deposition. Increase in A $\beta$  over time can be seen in cognitively normal older adults (Resnick et al. 2015), and two recent studies reported baseline measures related to sleep quality to correlate with longitudinal increase in  $A\beta$ (Carvalho et al. 2018; Sharma et al. 2018). Thus, increases in sleep problems are related to higher cross-sectional levels of A<sub>β</sub>, and increases in  $A\beta$  levels are related to cross-sectional measures of sleep problems. Tracking  $A\beta$  deposition and sleep problems longitudinally over multiple time points will allow disentangling the age-trajectories more accurately and determine the direction of causality.

#### Gene Expression

Sleep is a fundamental aspect of brain function, and expressions of selective genes are highly sensitive to time spent awake and time spent sleeping. The high but regionally varying expression of HOMER1 in the cortex (Szumlinski et al. 2006), and its suggested role in AD and A $\beta$  pathophysiology (Dickey et al. 2003; Yamamoto et al. 2011; Luo et al. 2012), makes it a promising candidate for bridging the *in vivo* sleep–A $\beta$  accumulation results, rodent studies, and human brain *in vitro* databases. A $\beta$  production is tightly connected to neural activity, and models are developed to explain the regional distribution of A $\beta$  accumulation in humans as a result of regional activity variations (Jagust and Mormino 2011). Thus, in A $\beta$ -negative healthy controls, we expected high expression of HOMER1 in regions where high levels of AD- and sleep-related A $\beta$  are found. This was supported by the substantial overlap between HOMER1 gene expression (Hawrylycz et al. 2012) and sleep- and AD-related A $\beta$  accumulation.

HOMER1 expression responds to sleep loss (Maret et al. 2007; Wang et al. 2010), and is upregulated after both shorter (Mackiewicz et al. 2007) and longer (Conti et al. 2007) periods of sleep deprivation. The consistent activation of Homer1a suggests a role for sleep in intracellular calcium homeostasis for protecting and recovering from the neuronal activation imposed by wakefulness, and Homer1 appears to be a good marker for neuronal populations activated by sleep loss (Maret et al. 2007). Upregulation of Homer1 by sleep deprivation is likely a result of such sustained neural activity, as Homer1a is transiently upregulated during increases in network activity (Hu et al. 2010). Evidence for a role for Homer1 in  $A\beta$  processing comes from animal studies. APP + PS1 transgenic mice show reduced expression of Homer1, but normal levels in regions that do not accumulate A<sub>β</sub> (Dickey et al. 2003). It has been suggested that the inhibition of Homer1a activity is responsible for the observed neuronal degeneration in AD by the elimination of the facilitation of BK channels (Yamamoto et al. 2011). Conversely, the induction of Homer1a can reactivate  $A\beta$ -suppressed BK channels (Luo et al. 2012).

As Homer1 expression cannot be measured from living participants, the reported analyses only yield suggestive evidence for a potential implication of Homer1 in the sleep-A $\beta$  process. However, as the study was based on experimental animal work reviewed above, we believe this approach still allows certain speculations about the molecular mechanisms involved.

#### Limitations

Sleep problems were measured by self-report not by polysomnography. This prevented testing of objective measures of sleep quality, such as sleep fragmentation and amount of slow wave sleep. Self-report measures may be invalid in older adults with memory impairment, due to the inaccurate recall of sleep problems. In the present sample, none had cognitive problems, suggesting they were not able to yield accurate reports of sleep quality. A further challenge is that few young people showed high levels of amyloid accumulation. Although not defined as statistical outliers according to the studentized deleted residual approach, these will still necessarily be important for the observed relationship as they represents the highest observed amyloid values. Thus, although the PSWI-A $\beta$  relationship was observed in a relatively large sample of young participants (n = 72), and the general pattern replicated in an independent sample, it should also be replicated in a larger data set with more young participants with high levels of A<sub>β</sub>. Furthermore, we were not able to differentiate between different protein isoforms encoded by HOMER1. The short-form Homer1a is assumed to be more relevant for sleep loss than the longer forms (Homer1b

and c) (Maret et al. 2007; Diering et al. 2017). Also, although transcriptome studies may be useful in yielding a first insight into changes associated with sleep deprivation, we cannot infer from this that the specific genes are causally related to sleep, and distinguish the observed effects from changes due to secondary effects of sleep loss. Adding to this, we cannot per se assume a static expression pattern for an immediate early gene such as Homer1. Still, we went to great length to make sure that the expression pattern showed stability across donors, and were also able to demonstrate that all donors showed similar positive correlations between the amyloid-sleep pattern and gene expression when investigated individually. The data used in the study are from different sources—a neurocognitive study at LCBC in Oslo, comparisons of healthy older adults versus MCI/AD patients from ADNI and gene expression results from the Allen Brain Atlas. We believe that there is no reason to assume that between-study differences have caused artificial anatomical similarities between the statistical results reported here, and that the currently used approach hence is valid. Finally, although the spatial relationship between HOMER1 gene expression and the A<sub>β</sub>-sleep relationship was statistically robust, other candidates showed even stronger relationships. However, as HOMER1 was our only a priori candidate, we did not explore the other relationships with low nominal P-values.

# Conclusions

Correlations between  $A\beta$  accumulation and self-reported sleep problems have repeatedly been found. Here, we show that the sleep- $A\beta$  relationship is anatomically heterogeneous, mainly restricted to regions that harbor  $A\beta$  in AD and stronger in regions with high HOMER1 expression. This was according to our hypothesis based on experimental animal models, and although the conclusions that can be drawn based on human data are speculative at this point, we believe these results suggest a pathway through which two major AD risk factors may be causally related.

## Supplementary Material

Supplementary material is available at Cerebral Cortex online.

# Funding

The National Association for Public Health's dementia research program, the Department of Psychology at the University of Oslo, the Norwegian Research Council, the Medical Student Research Program at the University of Oslo, and the project has received funding from the European Research Council's Starting Grant and Consolidator Grant scheme under grant agreements 283634, 725025, and 313440. Additional funding for parts of the study was received from Innlandet Hospital Trust (grant number: 150201), the Knut and Alice Wallenberg Foundation, the European Research Council (#681712), the Swedish Research Council (grant numbers: #2018-02532, 2017-0095 and K2013-61X-14002-13-5), the TorstenSöderberg Foundation (#2017-00915), the Swedish Alzheimer Foundation (#AF-742881), Hjärnfonden, Sweden (#FO2017-0243), and the Swedish state under the agreement between the Swedish government and the County Councils, the ALF-agreement (grant #ALFGBG-715986# and #ALFGBG-720931). The MCI/AD PET data collection and sharing was funded by the ADNI (National Institutes of Health Grant U01 AG024904) and DOD ADNI (Department of Defense award number W81XWH-122-0012), see http://adni.loni.usc.edu/about/. A complete listing of ADNI investigators can be found at http://adni.loni.usc.edu/ wp-content/uploads/how\_to\_apply/ADNI\_Acknowledgement\_ List.pdf.

# Notes

The authors would also like to thank the study participants, and acknowledge the contributions of the Department of Gynecology, the Department of Urology, the Department of Orthopedic Surgery, the Department of Geriatric Medicine and the Department of Anesthesiology at Oslo University Hospital, and the Department of Orthopedic Surgery and the Department of Anesthesiology at Diakonhjemmet Hospital in Oslo, Norway. We thank MrsTanjaWesse and SanazSedghpourSabet as well as Drs Michael Wittig and Andre Franke at the Institute of Clinical Molecular Biology, Christian-Albrechts-University of Kiel, Kiel, Germany for technical assistance with the GSA genotyping. The LIGA team acknowledges computational support from the OMICS compute cluster at the University of Lübeck. *Conflicts of Interest*: None declared.

## **Disclosure statement**

Zetterberg has served at scientific advisory boards for Roche Diagnostics, CogRx, Samumed and Wave and is a co-founder of Brain Biomarker Solutions in Gothenburg AB, a GU Venturesbased platform company at the University of Gothenburg. Blennow has served as a consultant or at advisory boards for Alzheon, CogRx, Biogen, Lilly, Novartis and Roche Diagnostics, and is a co-founder of Brain Biomarker Solutions in Gothenburg AB, a GU Ventures-based platform company at the University of Gothenburg.

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